

Audit and Governance Committee

Dorset County Council



Date of Meeting	20 January 2017
Officers	<u>Lead Cabinet Member</u> Robert Gould – Leader <u>Local Members</u> All Members <u>Lead Director</u> Debbie Ward, Chief Executive
Subject of Report	Progress on Matters Raised at Previous Meetings
Executive Summary	This report records:- (a) Cabinet decisions arising from recommendations from Audit and Governance Committee meetings; and (b) Outstanding actions identified at the meetings held on 20 September and 7 December 2016.
Impact Assessment:	Equalities Impact Assessment: N/A
	Use of Evidence: Information used to compile this report is drawn together from the Committee's recommendations made to the Cabinet, and arising from matters raised at previous meetings. Evidence of other decisions made by the Cabinet which have differed from recommendations will also be included in the report.

Progress on Matters Raised at Previous Meetings

	<p>Budget: No VAT or other cost implications have been identified arising directly from this programme.</p>
	<p>Risk Assessment: Having considered the risks associated with this decision using the County Council's approved risk management methodology, the level of risk has been identified as: Current Risk: LOW Residual Risk: LOW</p>
	<p>Other Implications: None</p>
Recommendation	<p>That Members consider the matters set out in this report.</p>
Reason for Recommendation	<p>To support the Council's corporate aim to provide innovative and value for money services.</p>
Appendices	<p>None</p>
Background Papers	<p>None</p>
Report Originator and Contact	<p>Name: Denise Hunt, Senior Democratic Services Officer Tel: (01305) 224878 Email: d.hunt@dorsetcc.gov.uk</p>

Progress on Matters Raised at Previous Meetings

Date of Meeting	Note Number and subject reference	Action Required	Responsible Officer	Completed (incl comments)
20 September 16	19 – Statement of Accounts	Consideration to be given to inclusion of narrative explaining reasons why savings levels are necessary and a graph illustrating the impact of the reduction in revenue support grant on Council services.	Jim McManus	The narrative is new and developing, so the suggestions about new items to include will be implemented in the 2016/17 accounts. We will also use the suggestions in other briefing documents to be produced for member sessions.
	20 – External Audit Annual Report 2015/16	Follow-up of prior year recommendations – supporting evidence for starters and leavers to the pension fund. Information to be circulated to the Committee and made available at the Pensions Board meeting.	Richard Bates	Response awaited.
	21 – Internal Audit Quarterly Report	A summary of progress regarding the significant risks identified at Dorchester Learning Centre to be circulated to the Committee.	Rupert Bamberger	<p>An audit follow up has been undertaken at Dorchester Learning Centre. The follow up report highlights that all agreed actions have been implemented and therefore the significant risks identified as part of our original audit have been mitigated. The follow up report does however highlight that in many instances, there is a need for the action to be ongoing, in order to maintain this positive position.</p> <p>A copy of the follow up report has been circulated to Members of the Committee.</p>

Progress on Matters Raised at Previous Meetings

	23 – Budget Monitoring August 2016	Councillors Trevor Jones, Peter Wharf and Kate Wheller to be invited to the next meeting of the Budget Strategy Task and Finish Group to hear the update from Children’s Services.	Denise Hunt	Completed. An e-mail invitation has been sent to Councillors regarding the date of the next Budget Strategy Task and Finish Group meeting.
	26 – DES Business Continuity Update	To include councillors in any ICT security related training and awareness for staff.	Richard Pascoe	Update not available.
	28 – Corporate Compliments and Complaints Annual Report	To investigate Councillor training and awareness sessions.	Julie Taylor	A member drop in session was held following the County Council meeting on Thursday 10 November 2016. Future training sessions are to be agreed.
7 December 2016	35 – Call to Account – Ironman Weymouth 2016	Recommendations arising from the Call to Account.	Chairman – Audit and Governance Committee	A report containing recommendations and an implementation plan will be considered by Cabinet on 18 January 2017.